**Cohort Workshop (Philadelphia, PA): November 13-15, 2019**

**Hosted by University of Pennsylvania**

**Sheraton University City**

**3549 Chestnut Street; Philadelphia, PA 19104**

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| **Session** | **Date** | **Agenda/Topic** | **Approximate Planned Time** |
|  | **11/13/19** | Registration  Location TBD | 12:00 – 1:00p |
| 1 | **11/13/19** | Special Half Day Workshop – Deep Dive into Cohort Internal Control Framework (including institutional examples of use)  **\*Dinner on your own\*** | 1:00 – 5:00p |
|  | **11/14/19** | Registration  Continental breakfast  Location TBD | 7:30 – 8:30a |
| 2 | **11/14/19** | Welcome   * Overview of Cohort materials (workbook, history of effort reporting, UG standards for documentation, updated ICF, salary cap guidance, Cohort website, Federal agency inconsistencies, FDP Pilot Institution Capstone Survey Results, and distinctions of Effort Reporting, Time Devoted, Work Activities Performed, and “Commitments” – Reality of Faculty activities) * Updates on Cohort happenings and survey results * Senior Leadership Conversations – Why Make a Move to UG Compensation Compliance (Alternative to Effort)? | 8:30 - 9:30a |
| 3 | **11/14/19** | * TBD | 9:30 -10:30a |
|  | **11/14/19** | Break | 10:30-10:45a |
| 4 | **11/14/19** | Deep Dive of Recent Implementation  • Arizona State University | 10:45 – 11:45a |
|  | **11/14/19** | Lunch  Location TBD | 11:45 – 12:45p |
| 5 | **11/14/19** | Deep Dive of Recent Implementation   * University of Georgia | 12:45 – 1:45p |
|  | **11/14/19** | Break | 1:45 – 2:00p |
| 6 | **11/14/19** | Prior Implementations – Updates   * Michigan Tech * University of Kentucky * Colorado State University * UT Arlington * UT San Antonio * UT Dallas * NYU Langone Health School of Medicine | 2:00 – 3:30p |
| 7 | **11/14/19** | Panel Discussion from Audit community  • | 3:30 – 4:30p |
| 8 | **11/14/19** | COSO  • Lisa Franciosa and Zach Belton (testing on internal controls and COSO. Share tests that auditors will perform and responses to be given to demonstrate UG 200.430 compliance). | 4:30 – 5:30p |
|  | **11/14/19** | Closing Remarks – General Q&A | 5:30 – 5:35p |
|  | **11/14/19** | Hosted reception  Location TBD  **\*Dinner on your own\*** | 5:35 – 7:30p |
|  | **11/15/19** | Continental breakfast  Location TBD | 7:30 – 8:30a |
| 9 | **11/15/19** | NSF/NIH Faculty Reviewers (discuss budgets/facilities/etc. in terms of faculty ability to complete the project in the lifecycle (estimating salary and reporting work completed) | 8:30 – 9:30a |
| 10 | **11/15/19** | Deep Dive – How to Take Advantage of Flexibility Through Policy (example of Cost Transfer Policy aligned with UG 200.430)  • Missy Peluso, University of Penn | 9:30 - 10:45a |
|  | **11/15/19** | Break | 10:45 – 11:00a |
| 11 | **11/15/19** | Diani Popoca HHS OIG (international audits and what factors lead to an investigation) | 11:00 – 12:00p |
|  | **11/15/19** | Lunch  Location TBD | 12:00 – 1:30p |
| 12 | **11/15/19** | Recent trends, Operational Challenges, Successes in implementing UG Compensation Compliance/ATE  • Marty | 1:30 – 2:30p |
| 13 | **11/15/19** | Concurrent Sessions Tracking salary at proposal, JIT, RPPR, FSR  • Pre-Award  • Departmental  • Post Award  • Reporting | 2:30 – 3:30p |